

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				ATTY. DOCKET NO. 3493.00152		SERIAL NO. Not yet assigned	
				APPLICANT Alan H. GNAUCK et al.			
				FILING DATE November 28, 2000		GROUP Not yet assigned	

09/21 U.S. PTO  
09/17/2000

(use as many sheets as necessary)	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>hm</i>	6,115,162	09/05/00	Graves et al.			
<i>hm</i>	6,072,616	06/06/00	Cohen et al.			

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)	
<i>hm</i>	H. Bülow, et al., "Adaptive PMD Mitigation at 10 Gbit/s using an Electronic SiGe Equaliser IC," ECOC '99, September 26-30, 1999, pp. 138-139
<i>hm</i>	L.M. Lunardi, et al., "Performance Monitoring for Transparent Optical Networks," AT&T LABS - RESEARCH
<i>hm</i>	M. Fregolent, et al., "Adaptive Optical Receiver for Performance Monitoring and Electronic Mitigation of Transmission Impairments," ECOC 2000 Paper 2.1.2., September 4, 2000
<i>hm</i>	S.L. Woodward, et al., "PMD Mitigation via Single-Sideband Modulation and Principal-State Launch," AT&T LABS - RESEARCH

EXAMINER <i>hm</i>	DATE CONSIDERED <i>10/29/03</i>
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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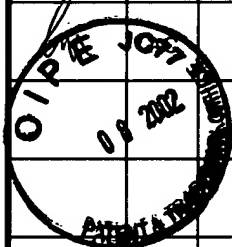
Application Number 09/722,646  
 Filing Date 11/28/2000  
 First Named Inventor Alan H. Gnauck et al.  
 Group Art Unit 2633  
 Examiner Name Not Yet Assigned  
 Attorney Docket Number 2000-0515A

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
lu	AA	US5522004		O. G. Sahlen et al	05/28/1996	_____
lu	AB	US5377065		T. Wang et al	12/27/1994	_____
		US5793511		. Buelow et al	08/11/1998	_____



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**FOREIGN PATENT DOCUMENTS**

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		Office <sup>3</sup>	Number (if known)	Kind Code <sup>2</sup>				
lu	AD		DE4415176A		Ericsson Telefon AB L M	11/03/1994	_____	<input type="checkbox"/>
lu	AE		EP0409260A2		Fijitsu Ltd.	01/23/1991	_____	<input type="checkbox"/>
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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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 Attorney Docket Number 2000-0515A

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## **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
AF		Communication and European Search Report, dated October 5, 2002, from European Patent Application No. 01127367.9, four pages.	<input type="checkbox"/>
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